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Common and Dissociable Neural Activity Following Mindfulness-Based Stress Reduction and Relaxation Response Programs

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ABSTRACT

**Objective:** We investigated common and dissociable neural and psychological correlates of two widely used meditation-based stress-reduction programs.

**Methods:** Participants were randomized to the Relaxation Response (RR; n=18; 56% female) or the Mindfulness-Based Stress Reduction (MBSR; n=16, 56% female) programs. Both programs utilize a ‘bodyscan’ meditation, however the RR program explicitly emphasizes physical relaxation during this practice, while the MBSR program emphasizes mindful awareness with no explicit relaxation instructions. Following the programs, neural activity during the respective meditation was investigated using fMRI.

**Results:** Both programs were associated with reduced stress (for RR, from 14.1±6.6 to 11.3± 5.5; Cohen’s $d=0.50$; for MBSR, from 17.7±5.7 to 11.9±5.0; Cohen’s $d= 1.02$). Conjunction analyses revealed functional coupling between ventromedial prefrontal regions and supplementary motor areas ($p<0.001$). The disjunction analysis indicated that the RR bodyscan was associated with stronger functional connectivity of the right inferior frontal gyrus – an important hub of intentional inhibition and control- with supplementary motor areas ($p<0.001$, FWE corrected). The MBSR program was uniquely associated with improvements in self-compassion and rumination and the within group analysis of MBSR bodyscan revealed significant functional connectivity of the right anterior insula – an important hub of sensory awareness and salience- with pregenual anterior cingulate during bodyscan meditation compared to rest ($p=0.03$, FWE corrected).

**Conclusions:** The bodyscan exercises in each program were associated with both overlapping and differential functional coupling patterns, which were consistent with each program’s theoretical foundation. These results may have implications for the differential effects of these programs for the treatment of diverse conditions.

**Keywords:** meditation, mindfulness, mindfulness-based stress reduction program, relaxation response, bodyscan
### List of Abbreviations

<table>
<thead>
<tr>
<th>Abbreviation</th>
<th>Full Form</th>
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<tbody>
<tr>
<td>RR</td>
<td>Relaxation Response</td>
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<tr>
<td>MBSR</td>
<td>Mindfulness-Based Stress Reduction</td>
</tr>
<tr>
<td>PFC</td>
<td>prefrontal cortex</td>
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<tr>
<td>rIFGpo</td>
<td>right inferior parietal gyrus pars opercularis</td>
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<tr>
<td>aINS</td>
<td>anterior insula</td>
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<tr>
<td>PSS</td>
<td>Perceived Stress Scale</td>
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<tr>
<td>FFMQ</td>
<td>Five Facet Mindfulness Questionnaire</td>
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<tr>
<td>TE</td>
<td>echo time</td>
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<tr>
<td>TR</td>
<td>repetition time</td>
</tr>
<tr>
<td>FA</td>
<td>flip angle</td>
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<tr>
<td>FOV</td>
<td>field of view</td>
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<td>FWHM</td>
<td>full width at half maximum</td>
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<tr>
<td>CONN</td>
<td>Connectivity Toolbox</td>
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<tr>
<td>SPM</td>
<td>Statistical Parametric Mapping</td>
</tr>
<tr>
<td>WM</td>
<td>white matter</td>
</tr>
<tr>
<td>CSF</td>
<td>cerebrospinal fluid</td>
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<tr>
<td>ROI</td>
<td>region of interest</td>
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<tr>
<td>vMPFC</td>
<td>ventral medial frontal cortex</td>
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<tr>
<td>ANOVA</td>
<td>analysis of variance</td>
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<tr>
<td>FWE</td>
<td>family wise error rate,</td>
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<tr>
<td>ACC</td>
<td>anterior cingulate cortex</td>
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<tr>
<td>SMA</td>
<td>supplementary motor areas</td>
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<td>pACC</td>
<td>pregenual anterior cingulate cortex</td>
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INTRODUCTION

The use of mind-body techniques as complementary medical interventions has been increasing dramatically (1). Most meditation based clinical programs are modeled on one of two well-known stress reduction courses - the Relaxation Response (RR) program developed by Herbert Benson (2-4) or the Mindfulness-Based Stress Reduction (MBSR) program developed by Jon Kabat-Zinn (5–7). Both programs have been operating for over 40 years, and their effectiveness have been validated with samples of patients with a wide variety of illnesses (8–13). Although both interventions are based on meditation, the scientific philosophies and meditative traditions upon which each is founded are distinct: the RR program emphasizes the induction of a relaxed physiological state hypothesized to be the opposite of the stress response, whereas the MBSR program is hypothesized to work by cultivating a particular non-judgmental attitude termed "mindfulness". Consequently, MBSR does not necessarily encourage relaxation, but posits that a form of ‘meta-relaxation’ may arise from the non-judgmental acceptance of any given body state. Given these similarities and implied theoretical differences, the goal of this study is to compare psychological changes and investigate putative common and dissociable neural activation patterns associated with each program. A finding of both common and divergent neural activation patterns could potentially have implications for how these programs are prescribed.

The different theoretical orientations are reflected in how each meditation technique is practiced. The difference is most apparent in the ‘bodyscan’ meditation practice, during which attention is moved sequentially through the body. The general instructions in both programs are the same, but the RR program explicitly instructs participants to intentionally reduce arousal and muscle tension in each body area with the goal of decreasing sympathetic activation (14). Conversely, the MBSR program emphasizes paying attention to present moment sensory experience in each body area without trying to change anything, and explicitly teaches participants that physical relaxation is not an aim of meditation practice (6). This differential emphasis on relaxation vs. mindfulness applies to all the techniques taught in both programs. Indeed, the founders of each program argue that the specific meditation
techniques used are less important than how these techniques are practiced. As such, even though the RR program includes some instruction in mindfulness, it is a relatively minor component of the program and typically consists of instructions to be mindful of becoming more relaxed.

Despite their long history, these programs have never been directly compared in a single study, and so it has been impossible to compare and contrast their neural correlates and behavioral outcomes. Numerous studies of many different types of meditation have been performed and have found both overlapping and divergent results in terms of peripheral and autonomic physiology (16-19), neural activation (20, 21) and psychological/cognitive (22-25) effects. However, some studies have used highly experienced practitioners while others have used novices or clinical populations. Furthermore, a comparison of studies is confounded by issues related to selection bias (26), as well as differences in data collection and analysis techniques. Indeed, even studies that have specifically studied the neural effects of mindfulness meditation, which has been the most thoroughly investigated form to date, have found both overlapping and divergent results (27), which underscores the need for a direct comparison of meditation techniques using the same neuroimaging acquisition and analysis protocols in order to identify similarities and differences as to how these meditation techniques are actually performed.

The purpose of this study was specifically to address common and dissociable functional coupling patterns during meditation practice, rather than the longitudinal change in brain activity due to training. Therefore the scanning was only performed at the post-training time point, because meditating in the scanner is challenging, and individuals often need extensive instruction and practice before they are able to achieve a meditative state. Thus by scanning only after the programs, meditation-naïve participants had received sufficient training and experience to meditate in the scanner.

The current study investigates shared as well as divergent neural activation patterns modulated by each bodyscan meditation technique, as it is the most similar practice between the two programs. As mentioned above, both RR and MBSR bodyscan meditations involve an awareness of bodily sensations. One of the main brain regions associated with such focused attention meditation and a present-centered awareness of the self (28,29) is the ventral medial prefrontal cortex (vMPFC). As
present-centered awareness of the self is shared across both types of meditation, we hypothesized that functional coupling pattern of the vMPFC would be common feature of both programs.

In terms of brain regions that might be unique to each meditation style, since we are specifically interested in dissociable neural activation patterns that would reflect differences in the theoretical orientation of each program, we selected seeds that might potentially reflect the differential intentions of ‘to relax’ or ‘to be mindful’. While there have been no neuroimaging studies of the RR program, a study by Tang et al., (2009) compared relaxation training, which was somewhat similar to the RR program, with integrative mind-body training. The researchers demonstrated that relaxation training produced differential activation in the lateral prefrontal cortex (PFC), a region implicated in cognitive control, response inhibition, and the selection of information (30-32). They concluded that this region might be involved in effortful control, which is critical to create and maintain a relaxed state. Therefore we hypothesized the functional coupling pattern of this region might be different during the two styles of body scan.

Conversely, while there have been many neuroimaging studies of mindfulness meditation, most of these have used long-term practitioners of various traditions (20,27). There have been no studies of the mindful bodyscan, however two studies have identified the insula as a primary region activated by recent MBSR graduates (28,33). The insula has been repeatedly associated with mindfulness meditation in both structural (34,35) and functional studies (36-38). Although sensory feedback is integral to both types of bodyscan, only the MBSR program emphasizes deliberate awareness of these sensations, while the RR program focuses more on elicitation of relaxation regardless of the current bodily sensations. Relying on anterior insula’s (aINS) role in somatosensory conscious awareness (39) and receiving moment-to-moment inputs from a variety of somatic and sensory centers (40), as well as on the MBSR program’s differential theoretical orientation, which strongly emphasizes sensory awareness, we hypothesized that the functional coupling of aINS would also differentiate MBSR from RR.

In line with their theoretical premises, each program has predominantly utilized different psychological outcome measures to assess therapeutic success. A direct comparison of the two
programs using a single set of measures may provide important insights regarding the differences and similarities between them. In the current study we address this need by additionally exploring similarities and differences in psychological outcomes. Both programs aim to decrease stress, and mindfulness, self-compassion, and rumination are often cited as key mechanisms through which the MBSR program works (24,25,41). Any group differences in these metrics, together with the investigation of common and divergent neural coupling patterns during meditation practice, could help identify behavioral outcomes and neural correlates which may be uniquely associated with each program, and contribute to our understanding how specific meditation programs may differentially influence outcomes. Such an understanding has potential implications for clinical practice, as the various psychological outcomes associated with each type of program might be differentially beneficial for certain conditions.

METHODS

Participants

Seventy-four participants were assessed for eligibility and 60, meeting the inclusion criteria, were enrolled in cohorts of 15 (See Appendix A for CONSORT flow diagram, Supplemental Digital Content, http://links.lww.com/PSYMED/A474). Sample size was determined based on our unpublished pilot study of long term Vipassana meditation and Relaxation Response practitioners. Participants were recruited in 6 clusters/cohorts and randomized sequentially based on time of enrollment. All recruiting occurred during Jan-Mar and Aug-Oct, and classes took place either between March 2010 and May 2010 or October 2010 and December 2010. The order of classes was randomized (3 cohorts per arm) by coin flip. Two MBSR classes occurred in the spring and one in the fall. One RR class took place in the spring and two were in the fall. There were no differences between the cohorts in terms of the perceived stress levels either at pre ($p=0.16$) or post ($p=0.27$) time-points. Ten individuals dropped before baseline testing and fifty individuals began the program (RR n=22; MBSR n=28); 40 completed at least one behavioral measure at the post testing time-point (RR n=20; MBSR n=20). Useable brain data was
available from 34 participants (RR n=16; 9 female; mean age = 39.87 ± 10.29; MBSR n=18; 10 female; mean age = 37.47 ± 9.14). No adverse events were reported during the study. Six of the missing cases for brain analysis were due to corruption of the raw data during storage and/or data transfer, and thus were not related to any aspect of the programs. The other ten dropped out of the study due to other time commitments. The groups did not differ demographically (see Table 1). Left handed individuals, those taking medications that alter cerebral blood flow or metabolism or who had major illnesses that would interfere with participation in the study were excluded and individuals who met standard MRI exclusion criteria (e.g. having metallic implants) were excluded, as were those with a history of head trauma. Participants were required to have had no more than 4 hours of meditation or yoga practice of any tradition in their lifetime. The age inclusion range was 22-55 years old to minimize the effect of advanced age on brain function (43). Study staff was not blind to allocation yet participants were blind to study objectives and to the composition of the courses. They were told only that there were 2 stress reduction courses (Program “A” and ”B”); no other information was given about either program. Both program providers were blind to study hypotheses. Questionnaires were collected via a secure website. Data remained de-identified with regard to group assignment until statistical analyses were conducted. The Massachusetts General Hospital’s Institutional Review Board approved all study procedures and all participants signed a written consent form. Participants were compensated for their time and also were reimbursed for parking when needed. The study was registered at clinicaltrials.gov with the identifier number of NCT00625807.

**Experimental Protocol**

**Stress Reduction Programs.** The two programs differ slightly in how they are typically taught in health-care settings. Therefore, we modified both programs in order to match them in terms of contact hours with the teacher and amount of home meditation practice assigned (see below). Other than these changes, the content of each program was the same as typically taught in the clinic. Both groups received 8 weekly, 2-hour group-training sessions and were instructed to practice for 20 minutes/day at home with guided recordings. Both programs included group exercises and interactions
about the challenges and achievements experienced in the process of integrating mindfulness/relaxation into their lives and into stressful situations encountered throughout the day.

**RR course:** Typically this is a 10 week program that meets weekly for 2 hours. Class 8 and 9 were removed in order to fit the 8-week format of the study. Over the 8-week program, four different techniques for eliciting the RR were taught to participants: guided relaxing bodyscan, focus word (mental repetition of a word, sound, or phrase), breath counting and breath awareness. One single 20-minute guided meditation session that included all 4 techniques was used throughout the entire duration of the program. Janet Fronk who has over 20 years of experience teaching the RR program at the Benson/Henry Mind-Body Medical Institute taught the RR course.

**MBSR course:** Typically this is an 8 week program that meets weekly for 2.5 hours and also includes an ‘all day’ retreat following the sixth class. To fit the constraints of the study, classes were shortened to 2 hours and the all-day retreat was not included. Typically the course enrolls 25-30 participants and the 2.5 hours provides time for class participation from everyone. Because the study enrolled just 15 people per cohort, all content could be covered within the allotted 2-hour classes. Over the course of the 8-week program, three meditation techniques were taught to participants, with just one technique practiced during each 20-minute home practice session (typically MBSR prescribes 40 minutes/day of practice, however this was reduced to 20 minutes to match the home practice prescribed by the RR program). During the first two weeks participants practiced mindful bodyscan, during weeks 3 and 4 they practiced mindful yoga (simple yoga postures done with a mindful attitude), then during weeks 5-6 they practiced sitting meditation (which comprises watching the flow of breath and mental phenomena without trying to control these). During the final 2 weeks participants were free to choose which practice to do. The MBSR course was taught by Carol Legro who completed the MBSR teacher training program and has over 12 years experience teaching MBSR. See Appendix C, Supplemental Digital Content, http://links.lww.com/PSYMED/A474, for further details about the courses.
Experimental Design

Behavioral Measures.

The following questionnaires were administered at baseline and post programs:

**The Perceived Stress Scale (PSS)** (44). The PSS assesses the degree to which situations are appraised as stressful (i.e. unpredictable, uncontrollable and overloading) and was our main clinical outcome measure. The scale includes 10 items i.e. ‘How often have you felt confident about your ability to handle your personal problems?’ which participants respond to on a five-point Likert scale from 0 = never to 4 = very often. Total scores range from 0 to 40, with higher scores indicating greater overall distress and alpha reliability coefficient ranges from 0.75 to 0.86.

**The Five Facet Mindfulness Questionnaire (FFMQ)** (45). The FFMQ is a commonly used, 39 item measure consisting of five subscales (observing, describing, acting with awareness, non-judging of inner experience, and non-reactivity to inner experience). Observing includes noticing or attending to internal and external experiences such as sensations, thoughts, or emotions. Describing denotes labeling internal experiences with words. Acting with awareness refers to focusing on one’s activities in the moment as opposed to behaving mechanically. Non-judgment of inner experience refers to taking a non-evaluative stance toward thoughts and feelings. Non-reactivity to inner experience is allowing thoughts and feelings to come and go, without getting caught up in them. The scale includes 39 sentences such as ‘I perceive my feelings and emotions without having to react to them’, and the participants are asked to rate on a Likert-type scale ranging from 1 (never or very rarely true) to 5 (very often or always true). The FFMQ contains both positively and negatively worded items (20 and 19, respectively), with higher scores indicating higher levels of mindfulness. Alpha reliability coefficient ranges from 0.67 to 0.93.

**The Self-Compassion Scale** (46). The Self-Compassion scale includes items that measure how often people respond to feelings of inadequacy or suffering with self-kindness (e.g., “I try to be loving toward myself when I’m feeling emotional pain”), self-judgment (e.g., “I’m disapproving and judgmental about my own flaws and inadequacies”), common humanity (e.g., “I try to see my failings
as part of the human condition”), isolation (e.g., “When I think about my inadequacies it tends to make me feel more separate and cut off from the rest of the world”), mindfulness (e.g., “When something painful happens I try to take a balanced view of the situation”), and over-identification (e.g., “When I’m feeling down I tend to obsess and fixate on everything that’s wrong”). The scale includes 26 items, rated on a 5-point scale ranging from 1 (almost never) to 5 (almost always). Alpha reliability coefficients range from 0.75 to 0.81.

The Rumination Response Questionnaire (47). The Rumination response questionnaire assesses depressive rumination styles and measures the tendencies to (a) reflect on problems, defined as contemplative, intentional pondering of one’s mood with a focus on problem solving and (b) brood, referring to passive and judgmental pondering of one’s mood. The scale includes 22 items, such as “I think ‘why do I have problems other people don’t have?’” and the items rated on a scale from ranging from 1 (almost never) to 4 (almost always). Alpha reliability coefficients range from 0.72 to 0.77.

Common life stressors. The Holmes-Rahe Scale (48) was administered to ensure changes in stress were not due to a recent or upcoming life event. This scale, also called the social readjustment rating scale, includes 43 life events such as “death of a close family member” or “personal injury or illness”. Each event is assigned a “Life Change Unit” score and an overall score is obtained by adding them to predict illness.

Home practice. Participants were given paper logs on which to record their daily meditation practice. Compliance is listed in Table 1 along with attendance.

MRI acquisition parameters. All participants were scanned at the Martinos Center for Biomedical Imaging in Boston, MA. High-resolution MRI data were acquired with a Siemens Magnetom Avanto 1.5 T scanner with standard head coil. Data sets of the whole brain were collected using a T1 weighted MPRAGE-sequence, consisting of 128 sagittal slices (1.0x1.0x1.3 mm; TI=1000 ms; echo time (TE)=3.39 ms; repetition time (TR) =2730 ms). Functional data were acquired using an ascending sequence order [TR= 2000 ms; flip angle (FA) = 90°; matrix size = 64 x 64; field of view (FOV) = 200 mm; 25 axial slices; slice thickness 3.0 mm.] Head motion was restricted using two padded clamps.
**MRI guided meditation sessions.** All participants underwent a 24-minute scan during which they listened to a guided recording containing excerpts from their daily home meditation practice instructions. The scan was comprised of four six-minute segments, each containing verbal prompts. The prompts at each segment were matched for duration and on-set time to minimize confounds due to listening (See Figure 1 for a graph depicting scanning sessions for each group and for exact timings of the verbal prompts and Appendix B in Supplemental Digital Content, http://links.lww.com/PSYMED/A474). For both groups, the first two segments were comprised of a guided resting state and a guided silent random number generation task. The third segment was the guided relaxing or mindful bodyscan and segment four contained other meditation instructions unique to each program (not included in the current analyses). The instructions were communicated through headphones, which also muffled the sound of the scanner.

The bodyscan meditation recordings were matched in terms of the body-area towards which participant’s attention was directed and timing onsets. The RR group received specific instructions to relax that body area, while the MBSR group received instructions to notice and be aware of the same body area. For instance, RR participants heard: “Now bringing awareness to your jaw, and as you exhale release any tension or clenching.”, “Now feeling a wave of relaxation spreading down over your face, letting your cheeks release and soften so your whole face feels comfortable and relaxed.” The MBSR group heard: “Be aware of the jaw, the hinge joint on either side of the face, and the muscles of the jaw.”, “Bringing attention to the cheeks, the muscles of the cheeks and face that give expression to our many emotions, the sinuses, the ears, the outer ear, the ability to hear at this moment.”. In the present study we specifically analyzed the bodyscan vs. resting state contrasts within and between groups because the differences in ideology were likely to be most evident during bodyscan meditations and because they were matched for the focus of attention on the body.

**Data analyses.** Preprocessing included correction for head motion, temporal and spatial normalization in Montreal Neurological Institute space and smoothing using a Gaussian kernel with an isotropic kernel with a full width at half maximum (FWHM) of 6 mm using the standard pipeline for
volume-based analyses provided in the Connectivity Toolbox (CONN) (49) and Statistical Parametric Mapping (SPM8; Welcome Department of Cognitive Neurology).

In order to ensure participants were following the bodyscan instructions, we first performed an event related partial least squares analysis (50,51) time locked to the period when participants were attending to their face as a manipulation check. We chose this body area because this part of the recording was the longest in duration and enabled highest power to detect neural activation. Within this time-course, we arbitrarily created 5 blocks of 4 TRs and averaged across these blocks. A similar procedure was applied to the resting state scan.

To test our neural hypotheses, seed based functional connectivity analysis was performed using the CONN toolbox and the full resting and meditation state blocks. For time and frequency decomposition a band-pass filter \([0.01 – 0.15]\) was applied. To address artifacts such as spiking and motion, which might contribute to spurious correlations, artifact detection was used, as implemented in the CONN toolbox. CONN implements the CompCor method (52) to identify principal components associated with segmented white matter (WM) and cerebrospinal fluid (CSF) for each participant. WM, CSF, and realignment parameters are entered as confounds in a first-level analysis, and the data are band-pass filtered to .008 Hz–.09 Hz. This method addresses the confounding effects of participant movement, without regressing the global signal and without affecting intrinsic functional connectivity (53). In addition to accounting for head motion and other nuisance factors at the single subject level in all connectivity analyses, we also extracted maximum voxel displacement values for each participant and conducted a statistical analysis for between-group differences. The results demonstrated there were no differences between groups in terms of average realignment values, \(t(32) = 0.83\) (two-sided \(p = 0.41\)).

For the investigation of shared functional coupling, an \textit{a priori} seed in ventral medial frontal cortex (vMPFC; MNI coordinates: -4, 50, -20) and an SPM ‘conjunction null’ analysis was used. As an exploratory investigation, the same seed was used to investigate the relationship between differences in perceived stress levels and functional connectivity of the seed to whole brain during the bodyscan > rest
for both groups. For the investigation of differential functional coupling patterns, a priori seeds of right inferior frontal gyrus pars opercularis (rIFGpo; MNI coordinates: 54, 14, 16) and the dorsal anterior insula (aINS; MNI coordinates: 32, 20, 0) were used. Further analyses were conducted to investigate differences in perceived stress level and functional connectivity of these seeds to whole brain during the bodyscan > rest for each group. All imaging analyses were corrected for multiple comparisons (see Lindquist and Mejia (2015)) using a combination of voxel level thresholds \( p<0.001 \) and cluster extent threshold with a family-wise error (FWE) rate at \( p<0.05 \). Given the conservative nature of conjunction analysis (54), for the conjunction analysis only data with \( p \)-value \( < 0.001 \) uncorrected, are reported. For all seeds the parcellation scheme of Hashmi et al.(55), based on the Harvard Oxford Atlas, was used. Statistical analyses compared functional connectivity differences between RR and MBSR bodyscan meditations using bodyscan>rest contrasts. The results reflect connectivity of region of interests (ROI) to whole brain and all coordinates reported refer to peak activations in anatomical MNI space.

**RESULTS**

**Demographics**

There were no significant differences between the RR and MBSR groups on baseline demographic characteristics or on recent life stressors (Table 1). There were significant differences in baseline perceived stress levels between the participants who attended RR (14.10 \&pm; 6.5) and MBSR (18.59 \&pm; 6.75) programs. However, there was no significant difference in baseline perceived stress levels between the RR (14.69 \&pm; 7.0) and MBSR (18.33 \&pm; 6.26) programs among participants included in the brain analyses (t\((32)=1.60, p=0.12\)).

**Perceived Stress**

A mixed 2-way Analysis of Variance (ANOVA) was conducted on PSS scores including Group (RR vs. MBSR) as the between-subject, and Time (pre vs. post program) as the within-subject independent variable. A main effect was found for Time \( (F(1,37) = 23.12, p<0.001) \), indicating that both programs were successful in reducing perceived stress. As expected, the Group x Time interaction was not significant \( (F(1,37)=2.80, p=0.10) \) indicating that groups did not differ in the reduction of
perceived stress. Since neuroimaging data was only available from a subset of participants, we repeated
the same analyses including only those participants with neuroimaging data. Similar results were
obtained, indicating a main effect for Time ($F(1,30) = 19.00, p<0.001$) and a non-significant Group x
Time interaction ($F(1,30)= 1.51, p=0.21$).

**Other Behavioral Measures**

The effects of each program on psychological measures are listed in Table 2. The RR program
was associated with increases in the ‘describing’, ‘acting with awareness’, ‘observing’, and ‘non-
reactivity’ facets of the FFMQ. The MBSR program was associated with increases in the ‘observing’
and ‘non-reactivity’ facets of the FFMQ, as well as increases in self-compassion and decreases in
rumination levels. A series of ANOVAs comparing pre-post differences in these measures between
groups did not yield significant results (maximal $F(1,37)=2.801, p=0.10$), indicating that change in
these measures did not significantly differ between groups. There was a correlation between change in
PSS scores and change in the self-kindness subscale in MBSR ($r=-0.568, p<0.05$) and between change
in PSS and the common-humanity subscale of the Self-Compassion Scale in RR ($r=-0.493, p<0.05$).
There were no correlations between change in PSS scores and changes on other measures for either
group.

**Analysis of Bodyscan Instructions**

In order to confirm that the two stress reduction programs differed in terms of their emphasis on
relaxation and awareness, we assessed the frequency of instructions that included awareness or
relaxation components in the home practice guided meditation instructions. On average, for the RR
bodyscan recording, 78% of the instructions alluded to relaxation or feeling relaxed and 68.3% alluded
to awareness. For the MBSR recording, 37.5% alluded to relaxation or feeling relaxed and 84.4%
alluded to awareness. The differences in instructions that included awareness and relaxation
components were statistically significant - instructions with relaxation components were more frequent
in RR ($p<.001$, Fisher’s exact test), and the instructions with awareness components were more
frequent in MBSR ($p<.01$, Fisher’s exact test).
Neuroimaging Results

Before testing our hypotheses, we first performed a manipulation check to ensure participants were complying with the guided meditation instructions. An event related analysis of bodyscan>rest was performed time-locked to the period when participants were focused on their face. As detailed in Methods, this particular location was chosen since it was the body area with the longest duration, enabling averaging across several TRs. This revealed a significant cluster in primary somatosensory cortex (+66, -12, +28), [12 voxels, cluster \( p < .001 \)], in an area frequently associated with the face (Neurosynth, retrieved on June 29, http://neurosynth.org/locations/66_-12_28_6/), suggesting that participants were complying with the meditation instructions.

In order to investigate patterns of functional coupling shared across the two bodyscan meditations, ‘conjunction null’ analyses were performed using individual RR bodyscan>rest and MBSR bodyscan>rest contrasts in SPM 8. Analysis of RR bodyscan>rest contrast using a vMPFC seed yielded a significant cluster with the peak coordinates (+50, -78, -02), [74 voxels, cluster \( p - \text{FWE} = 0.018 \)] in extrastriate area; while analysis of MBSR bodyscan>rest contrast using vMPFC seed yielded two significant clusters with peak coordinates (+2, +30, +48), [82 voxels, cluster \( p - \text{FWE} < 0.001 \)] and (+16, -88, -10), [61 voxels, cluster \( p - \text{FWE} = 0.045 \)], in prefrontal and occipital cortices, respectively. Conjunction analysis using these contrasts revealed a significant cluster within supplementary motor areas with the peak coordinates (+2, +34, +38), [43 voxels, \( p < 0.001 \), FDRc corrected] (Figure 1). Additional analyses examined the relationship between functional connectivity during bodyscan [bodyscan meditation>rest contrast] and differences in perceived levels of stress from pre to post intervention with all participants. Whole brain correlation between vMPFC connectivity and improvement in stress revealed a significant cluster in frontopolar cortex with peak coordinates (+34, +58, +02), [74 voxels, cluster \( p - \text{FWE} < 0.05 \)].

To test our hypothesis regarding the differential engagement of rIFG in RR vs. MBSR, whole brain functional connectivity disjunction analyses were performed using the rIFG seed for the bodyscan vs. rest contrast. The RR group demonstrated differential coupling of rIFG regions with anterior
cingulate cortex (ACC), supplementary motor areas (SMA), and anterior insula (aINS) (Figure 2a, Table 3a). No significant regions were identified in the MBSR group. A between group analysis of the [bodyscan>rest] contrasts yielded a significant cluster in supplementary and presupplementary motor areas for the RR group in both hemispheres with the peak coordinates (-04 +04 +50), [194 voxels, cluster p-FWE<0.001] (Figure 2b).

Next, whole brain functional connectivity analyses were performed using the aINS seed. The disjunction analyses for the MBSR vs. RR comparison using [bodyscan>rest] contrasts did not reach significance (all p>0.05, FWE corrected). The analysis for the RR group alone did not yield significant results. The analysis for only the MBSR group demonstrated coupling of aINS with pregenual anterior cingulate gyrus (pACC) and precentral gyrus (Figure 3, Table 3b). The analysis investigating differences in perceived stress levels and functional connectivity of these seeds to whole brain during the bodyscan > rest for each group did not yield significant results.

**DISCUSSION**

As expected, both the RR and MBSR programs were associated with comparable decreases in perceived stress levels but differed in psychological measures of mindfulness, rumination and self-compassion. Additionally, the two programs exhibited common as well as dissociable functional coupling patterns during their respective bodyscan meditations. Functional coupling of vMPFC to supplementary motor area was shared across the two types of bodyscan meditations, potentially reflecting the present moment awareness and focused attention to the body that is integral to both bodyscan meditations. The functional coupling of this seed to a cluster in frontopolar cortex correlated with reductions in perceived stress levels. The RR bodyscan was differentially associated with specific neural activity in regions associated with control of physical/autonomic relaxation [rIFG and SMA]. Moreover, while regions commonly associated with interoceptive awareness [aINS and pACC] were functionally coupled during the MBSR bodyscan, this pattern didn’t differentiate the mindful from the relaxing bodyscan. These data highlight that specific theoretical orientations that are incorporated into
guided meditation practices can influence neural activity, which in turn could potentially mediate differential clinical outcomes.

Both stress reduction courses were associated with decreases in perceived stress and increases in levels of mindfulness as measured by the FFMQ. Interestingly, while both programs were associated with significant increases in the ‘observing’ and ‘non-reactivity’ subscales of the FFMQ, the RR program further resulted in significant increases in the ‘describing’ and ‘acting with awareness’ subscales. The RR program introduces the concept of mindfulness and includes two minutes of mindful breathing during the daily-guided home meditation practice. While we anticipated that there might be some increase in mindfulness in the RR group, we had hypothesized that the mindfulness changes would be larger in the MBSR group, contrary to our findings. Although it is possible that changes in mindfulness are indeed larger in the RR program, the FFMQ is known to have several psychometric issues including factor structure (56), which might also explain this discrepancy. Further research, using other methods to assess mindfulness will be necessary to reach a conclusion with respect to the relative role of mindfulness in the two programs.

Only MBSR was associated with changes in self-compassion and rumination. Previous studies have found mediating relationships between mindfulness, self-compassion and rumination and clinical outcome measures in the MBSR programs (41, 42, 57-58). To our knowledge, changes in compassion and rumination have not been previously assessed in relation to the RR program. The differences in these psychological metrics suggest that each program may reduce perceived stress through differential psychological processes. Although correlations were not found between most of these metrics and levels of stress reduction and/or brain connectivity, the lack of significant results could be due to our small sample size, which limits the determination of dissociable functional coupling patterns associated with each stress reduction program. It is also important to note that each program uses multiple meditation techniques and both programs include didactic content that was not matched between the programs. These other program elements likely provide additional components, although the founders of both programs have explicitly stated that all aspects of their program are designed to foster increased
relaxation or mindfulness, respectively. For the present study we wished to study the existing RR and MBSR programs because each is well validated, widely used, and well-studied. Future larger studies examining programs that have identical didactic content and specifically focus on one meditation technique per program are needed to more precisely investigate the differential effects.

Both styles of bodyscan meditation shared functional coupling patterns between vMPFC and supplementary motor areas, which is consistent with focused attention on bodily sensations and present-centered awareness of the self (28). Mindfulness training has been previously associated with vMPFC, especially in relation to modulation of value signals (59). Functional coupling between these two regions has also previously been associated with cognitive control (60). Moreover the correlation between functional connectivity of the vMPFC and differences in perceived levels of stress yielded a significant cluster in frontopolar cortex. Gray matter in this region was found be thicker in advanced mindfulness meditation practitioners compared to nonmeditators (34). The functional coupling between these regions has also been previously associated with individual differences in behavioral flexibility (61). Flexibility is critical for coping and adjustment to stressful conditions, and has been shown to improve following mindfulness meditation (62-64). Frontopolar cortex has also been implicated in cognitive control of emotion through strategies such as reappraisal and suppression (65,66), as well as in goal formation and maintenance (67). The observed correlation between reductions in perceived stress and the functional coupling between these regions is consistent with these findings. However, more research is required to draw conclusions on the relationship between stress reduction and the present functional coupling patterns.

The RR program specifically emphasizes decreasing sympathetic activation through a deliberate reduction of arousal and muscle tension (68). Following training, participants exhibited differential functional coupling of rIFG – an important hub of intentional inhibition and control (31,32) - to the anterior cingulate (ACC) and supplemental motor area (SMA) during a relaxing bodyscan compared to rest. Critically, functional coupling of rIFGpo to SMA differentiated the relaxing bodyscan from the mindful bodyscan, implicating inhibitory neural networks in the relaxing bodyscan meditation. The
rIFG and SMA are among the areas identified in previous studies of biofeedback mediated physical relaxation (69,70), supporting the hypothesis that RR-based stress-reduction may be mediated by physical relaxation during the bodyscan.

Preparation and execution of voluntary muscle relaxation has previously been associated with motor inhibition and with neural activity in primary and supplementary motor areas (71). The right IFG functions as a domain general ‘brake’ mechanism over response tendencies (31,32). This brake can be turned on both externally by salient stop signals, as well as internally by goals. Both the rIFG and SMA have previously been implicated in motor response inhibition using stop-signal paradigms, and a right IFG based network is thought to play a causal role in inhibitory motor control (72,73). However, since these experimental paradigms usually involve multiple cognitive processes of attentional capture and response inhibition, no consensus has been achieved regarding the exact contribution of IFG to attention and inhibition (74-76) Given that the two bodyscan protocols were largely identical with regard to attentional capture, and differed only in terms of additional ‘relaxation’ component, the primary contribution of the right IFG may be motor inhibition rather than attention. In line with this interpretation, prior research associated this region with motor-intentions and higher-level representations that exist prior to and independently of action execution (77,78).

During the MBSR bodyscan, which emphasizes non-judgmental awareness to sensory experience, the right anterior insula – an important hub of sensory awareness and salience- was strongly coupled with pregenual ACC. The anterior insula is associated with awareness of the sensory aspects of experience and subjective feeling states (79) and has been identified in multiple neuroimaging studies of mindfulness meditation (28, 80-82). The anterior insula is part of the circuitry implicated in anxiety (83) and has projections to cingulate regions (84). The ACC is involved in emotional regulation and the pregenual subdivision specifically regulates limbic activity during the generation of emotional responses (85). Our finding of increased functional coupling of aINS and pACC during the MBSR bodyscan may thus indicate enhanced awareness of the sensory aspects of affective experience and modulation of emotional responses to this affective experience through the neural functions of the
ACC. However it’s important to note that this functional coupling pattern did not differentiate the MBSR from the RR bodyscan, possibly suggesting the presence of these processes during the RR bodyscan to a certain degree.

As mentioned above, the RR program introduces the concept of mindfulness to participants, and instructs individuals to become more aware of present moment sensory experience, which is one component of mindfulness. However, during the RR bodyscan every instruction to become aware of a body region is followed by an instruction to relax that area, whereas the MBSR bodyscan instructions are to just be aware, without trying to change anything. Interestingly, although the aINS-pACC functional coupling pattern was significant in the MBSR group, the disjunction analyses using aINS seed did not yield significant results. This is consistent with the idea that the RR program develops the skill of mindfulness.

Numerous studies have demonstrated the beneficial effects of a variety of meditation practices. It has been unclear to what extent these practices share neural correlates. Interestingly a recent study compared EEG activity during a focused attention and open monitoring meditation practice from practitioners of two Buddhist traditions (19). The researchers found that the differences between the two meditation traditions were more pronounced than the differences between the two types of meditation. These data are consistent with our findings that theoretical orientation of how a practice is taught strongly influences neural activity during these practices. However the study used long-term practitioners from different cultures, which may have confounded the results. By directly comparing these programs in a homogeneous meditation naïve cohort and using the same data collection and analysis methods, we were able to confirm that the RR and MBSR programs are associated with differential psychological and neural processes. These results are in line with theoretical models that attempt to describe potential mechanisms of change associated with meditation (86,87,88) and suggest that different meditation practices may be associated with overlapping as well as differential neural correlates and behavioral outcomes, which may potentially have significant effects on disease. One limitation of the present study is the lack of a passive control group, which limits our ability to interpret
findings. It is possible all observed changes were due to passage of time or generic group effects. Nevertheless, prior studies with control groups have demonstrated that both programs are successful in reducing stress-levels (41) and that MBSR program is effective for increasing mindfulness and compassion while decreasing rumination.

Our results suggest that these programs likely promote wellness through shared as well as different processes, and each intervention may therefore potentially have differential effects on treatment of illnesses. Future studies, with larger sample sizes would be beneficial to assess the relationship between pre-post neural changes associated with each intervention and the behavioral outcome measures. Another limitation of the study was the cluster randomization method, which can be sensitive to intragroup correlations, seasonal effects and intermittent environmental stressors. Finally, future studies specifically designed to compare differential effects of these programs on particular diseases will be necessary to determine if these differences need be taken into consideration when prescribing meditation-based programs.

ACKNOWLEDGEMENTS

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REFERENCES


Figure Captions

**Figure 1.** Study Design

**Figure 2a.** Functional coupling of the vMPFC seed (circled in grey) [bodyscan > rest contrast]. Conjunction analysis revealed a significant cluster within supplementary motor areas with the peak coordinates (+2, +34, +38), [43 voxels, uncorrected $p<0.001$, FDRc corrected].

**Figure 2b.** Functional coupling of the vMPFC seed [bodyscan > rest contrast] in relation to the differences in perceived stress across all participants.

**Figure 3a.** Functional coupling of rIFGpo (circled in grey) for the RR group [bodyscan > rest contrast]. The results demonstrated coupling of rIFG regions with anterior cingulate cortex (ACC), supplementary motor areas (SMA), and anterior insula (aINS) during RR bodyscan.

**Figure 3b.** Differences between the functional coupling of rIFG seed to whole brain between the RR and the MBSR group [bodyscan > rest] (Table 3a). A between group analysis of the [bodyscan > rest] contrasts yielded a significant cluster in supplementary and presupplementary motor areas for the RR group in both hemispheres with the peak coordinates (-04 +04 +50), [194 voxels, cluster p-FWE= 0.000067].

**Figure 4.** Functional coupling of aINS (circled in green) during a mindful bodyscan compared to rest for the MBSR group. The results revealed functional coupling of aINS with pregenual anterior cingulate gyrus (pACC) and precentral gyrus (Table 3b).
Figure 1

**Relaxation Response Program**

<table>
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<tr>
<th>Rest</th>
<th>Relaxing bodyscan meditation</th>
<th>Number generation</th>
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**Mindfulness-Based Stress Reduction Program**

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<th>Rest</th>
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<th>Number generation</th>
<th>Open awareness meditation</th>
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Scanning duration = 24 minutes (6 minutes for each session × 4)
Figure 2a. Relaxing and mindful body-scan meditation > rest

Figure 2b. Neural correlates of differences in perceived stress during the relaxing and mindful body-scan meditations > rest
Figure 3a. Relaxing body-scan meditation > rest

Figure 3b. Relaxing body-scan meditation > rest vs. mindful body-scan meditation > rest
Figure 4. Mindful body-scan meditation > rest

[Image of brain with labeled area: aINS seed]
Table 1. Baseline Characteristics

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<th>RR</th>
<th>MBSR</th>
<th>statistical test value</th>
<th>p</th>
<th>Se</th>
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<td>Age</td>
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<td>(neuroimaging sub-cohort)</td>
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<td>$t=-0.71$</td>
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<td>M 10, F 17</td>
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<td>Education (years)</td>
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<td>16.6 ± 2.2</td>
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<td>3.6 ± 3.4 (post)</td>
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Table 2. Change in Psychological Measures

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<td>Se</td>
<td>t</td>
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<td>0.78</td>
<td>3.54 ± 0.7</td>
<td>0.83</td>
<td>2.27</td>
</tr>
<tr>
<td>Perceived Stress</td>
<td>n=20</td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td></td>
<td>n=18</td>
<td></td>
<td></td>
<td></td>
<td></td>
</tr>
<tr>
<td>Total stress scores</td>
<td>14.1 ± 6.6</td>
<td>3.15</td>
<td>11.3 ± 5.5</td>
<td>2.53</td>
<td>2.24</td>
<td>0.04</td>
<td>0.50</td>
<td>17.7 ± 5.7</td>
<td>3.95</td>
<td>11.9 ± 5.0</td>
<td>2.66</td>
<td>4.54</td>
</tr>
</tbody>
</table>
### Table 3. Functional Coupling Patterns for the RR and MBSR bodyscan meditations

3a. Peak coordinates of clusters functional coupled to rIFGpo during a relaxing bodyscan > rest for the RR group.

<table>
<thead>
<tr>
<th>Region</th>
<th>Hem</th>
<th>Clusters (x,y,z)</th>
<th>size</th>
<th>p-FWE</th>
<th>p-FDR</th>
</tr>
</thead>
<tbody>
<tr>
<td>SMA</td>
<td>L</td>
<td>-06, -04, +56</td>
<td>191</td>
<td>0.001</td>
<td>0.001</td>
</tr>
<tr>
<td>ACC</td>
<td>L</td>
<td>-08, +36, +28</td>
<td>82</td>
<td>0.002</td>
<td>0.001</td>
</tr>
<tr>
<td>INS</td>
<td>R</td>
<td>+34, +18, +02</td>
<td>45</td>
<td>0.06</td>
<td>0.03</td>
</tr>
</tbody>
</table>

3b. Peak coordinates of clusters functional coupled to aINS during a mindful bodyscan > rest for the MBSR group.

<table>
<thead>
<tr>
<th>Region</th>
<th>Hem</th>
<th>Clusters (x,y,z)</th>
<th>size</th>
<th>p-FWE</th>
<th>p-FDR</th>
</tr>
</thead>
<tbody>
<tr>
<td>sACC</td>
<td>R</td>
<td>+04, +28, -08</td>
<td>64</td>
<td>0.03</td>
<td>0.03</td>
</tr>
<tr>
<td>Pre_C</td>
<td>R</td>
<td>+66, +04, +20</td>
<td>56</td>
<td>0.06</td>
<td>0.03</td>
</tr>
</tbody>
</table>